

Company Overview

Convergent Capital Management is a diversified asset management holding company. As of September 30, 2009, the firm consists of seven investment advisory affiliates with approximately \$20 billion in assets under management. CCM's affiliates provide world-class investment services to individuals and institutions throughout the United States.

Convergent Capital Management's parent company is City National Corporation (NYSE: CYN), a publicly-held financial services firm with a long-term commitment to the asset management business.

Progressive Partnership

Convergent Capital approaches its relationship with its affiliates as a Progressive Partnership. A Progressive Partnership is one in which CCM serves not only as our affiliates' financial partner, but also as their trusted advisor.

CCM operates on three key principles:

- **Shared ownership** - Equity is a key motivator for our affiliates.
- **Independence** - Affiliates maintain operational autonomy.
- **Adding value** – Affiliate organizations are CCM's clients.

Strategies for Growth

CCM's strategy is to generate growth by adding new affiliates as well as by fostering the growth of existing affiliates.

We invest in a broad range of asset management and related firms, including investment counselors, asset managers and distribution platforms.

Advisory Affiliates

- AMBS Investment Counsel, Grand Rapids, MI
- CCM Advisors, Chicago, IL
- Clifford Swan Investment Counsel, Pasadena, CA
- Convergent Wealth Advisors, Rockville, MD
- Lee Munder Capital Group, LLC, Boston, MA
- Mid-Continent Capital, Chicago, IL
- SKBA Capital Management, San Francisco, CA

Advisory Support Services

- CCM Family Advisors, Los Angeles, CA

Capabilities

CCM Affiliates provide a wide variety of outstanding products and services to individuals and institutions throughout the United States including:

- US Value Equity
- US Growth Equity
- US Core Equity
- Socially Responsible Equity
- US 130/30 Strategies
- International Equity
- Balanced Portfolios
- Core Fixed Income
- Intermediate Fixed Income
- Short Term Fixed Income
- Alternative Investments
- Investment Counseling Services
- Charitable Remainder Trust Management and Administration

To learn more about CCM, visit our website at www.convergentcapital.com

Headquarters

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